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# RS 2665  
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PTO/SB/21 (02-04)

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**TRANSMITTAL  
FORM**

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/075,150
	Filing Date	February 14, 2002
	First Named Inventor	Harri Pekonen
	Art Unit	2665
	Examiner Name	Justin M. Philpott
Total Number of Pages in This Submission	Attorney Docket Number	04770.00040

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Third Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Certificate of Express Mail Return Receipt Postcard 3 <sup>rd</sup> Supplemental Form PTO/SB/08A 4 References
<div>Remarks</div> <p>The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed.</p> <p>Express Mail No. EV 406111045 US</p>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

APR 27 2004

Firm or Individual name	William J. Klein Banner & Witcoff, Ltd.	Technology Center 2600
Signature		
Date	April 21, 2004	

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

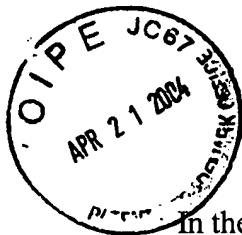
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No.: 04770.00040)

In the Application of Harri Pekonen )

Application No. 10/075,150 )

Examiner: Justin M. Philpott )

Filed: February 14, 2002 )

Group Art Unit: 2665 )

For: Time-Slice Signaling for )

Broadband Digital Broadcasting )

**THIRD SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
P.O. Box 1450  
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**RECEIVED**

APR 27 2004

Technology Center 2600

Dear Sir:

Pursuant to 37 CFR §1.97(c)(1) and 1.98, the Applicant wishes to make the following references of record in the above-identified application as a result of the International Search Report mailed January 29, 2004 (a copy of the International Search Report is enclosed), in the corresponding international application No. PCT/US03/00038.

Each reference cited in this Third Supplemental Information Disclosure Statement was first cited in the International Search Report (a copy of which is enclosed) from the European Patent Office in the counterpart international application No. PCT/US03/00038 not more than three months before filing of this Third Supplemental Information Disclosure Statement. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 CFR §1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed Supplemental Form PTO/SB/08A. The first Information Disclosure

Statement was filed on May 9, 2002. The second Information Disclosure Statement was filed on September 23, 2003.

This Supplemental Information Disclosure Statement is filed under 37 CFR §1.97(c)(1). Applicant believes no fees are due. However, the Commissioner is authorized to charge any fees that may be due or credit any overpayment of fees to Deposit Account No. 19-0733.

Under 37 CFR §1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made.

Under 37 CFR §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to be an admission that the cited references are, or are considered to be, material to patentability as defined in 37 CFR §1.56(b).

#### References

Malkamaki, et al., EP 0577322A, Publication Date January 5, 1994

Goodings, DE 10164665A, Publication Date August 1, 2002

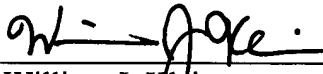
Huang, Su and Chao, "Architectures and Handoff Schemes for CATV-Based Personal Communications Network", *Infocom '98, 17<sup>th</sup> Annual Joint Conference of the IEEE Computer and Communications Societies, IEEE San Francisco, CA, March 1998, pp. 748-755*

#### Other Prior Art

International Search Report dated January 29, 2004.

Dated: April 21, 2004

Respectfully submitted,

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